Supplier Sustainability Specification
SQ03-0337
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### Revision History

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<tr>
<td>1</td>
<td>Troy Schulze</td>
<td>Initial Release</td>
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| 2   | Troy Schulze    | Added sec. 4.9 – Special Issues and sec. 4.9.1 – Conflict Metals  
Sec. 4.3.2.1 – Added clarity to scoring chart – Added “on all” or “on any” to the Section Scoring details.                                           | 10/7/10 |
| 3   | Troy Schulze    | 4.3.1 – Making reference to new “EICC-On” risk assessment tool  
4.9.1 – Added Conflict Minerals Policy section (4.9.1.1) and Supplier Requirements (4.9.1.2)                                                                                     | 6/15/11 |
| 4   | Troy Schulze    | Major re-write to sec. 4 – Supplier Requirements  
- Removal of independent section for Environmental, Health & Safety, Ethics and Labor replaced by direct reference to the EICC Code of Conduct  
- Addition of sec. 4.6 – Slavery and Human Trafficking Prevention                                                                                                                      | 12/16/2011 |
| 5   | Troy Schulze    | Sec. 4.5 – Conflict Minerals: Re-write  
Sec. 4.7 – Supplier Self-Assessment: Re-write – NEW requirements for supplier risk assessment via the Self-Assessment Questionnaire (SAQ) and Validated Audit Process (VAP)                     | 12/17/12 |
| 6   | Troy Schulze    | Sec. 2.0 – Definition: Revised “Major Supplier” to include any supplier identified by Skyworks as such  
Sec. 4.5 - Conflict Minerals – Updated policy statement and added CFS listing requirement for all Ta smelters  
Sec. 4.7.2 – Added ability for Skyworks to require VAP of Major Suppliers at our discretion, regardless of SAQ score                                  | 6/7/13  |
| 7   | Troy Schulze    | Sec. 2.0 – Revised Major Supplier definition to add sub-cons and reference new controlled list  
Sec. 3.0 – Updated Associated Documents list to include Major Supplier List – SQ04-0225  
Sec. 4.5 – Updated statement in red regarding Tantalum smelter requirements.                                                                                                      | 9/11/13 |
| 8   | Troy Schulze    | Sec. 1.2 – Scope – Expansion to add applicability to all Skyworks suppliers. Specific sections identified as applicable to specific supplier groups (ie: Level 1, Major Supplier, etc...)  
Sec. 4.8 – Whistleblower Provisions                                                                                                                                                    | 4/1/14  |
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<th>5.1 – Green Supplier Surveys - contact updated</th>
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| 9 | Troy Schulze | 2.0 – Revised “Major Supplier” definition to align with EICC.  
|   |   | 4.5 – Conflict Minerals – Updated supplier requirement for CFS audited or active smelters |
|   |   | 2/19/15 |
| 10 | Troy Schulze | Title page – Updated graphic  
|   |   | 2.0 – Added “labor agents” to definition of “Major Supplier”  
|   |   | 3.0 – Added SQ01-0002 as an associated doc  
|   |   | 4.0 – Updated language  
|   |   | 4.1 – Added Skyworks Sustainability Policy  
|   |   | 4.3, 4.4 – Updated titles to break out Skyworks requirements from EICC  
|   |   | 4.4.3 – Updated Conflict Minerals policy  
|   |   | 4.4.3 – All supply chain smelters required to be CFSI audited or active  
|   |   | 4.5 – Imbedded complete EICC Code of Conduct |
|   |   | 6/1/2015 |
| 11 | Troy Schulze | 4.5 – Updated Code revision to 5.1 |
|   |   | 2/1/2016 |
| 12 | Troy Schulze | 4.4.5.1 – Updated self-assessment scoring detail to align with modifications to supplier qual. (SQ03-0138) and self-survey (SQ04-0005)  
|   |   | 4.4.3 – Update to Conflict Minerals section to directly reference requirement that programs be aligned with OECD due diligence guidance |
|   |   | 5/3/2016 |
| 13 | Troy Schulze | 2.0 – Modified definition of ”major supplier” to include PEA’s (sub-group of the previously listed “labor agents / on-site service providers”).  
|   |   | 4.4.5.1 – Self-Assessment Scoring – Updated to align with reformat of SQ04-0005.  
|   |   | 4.4.5.2 – Added “note” allowing PEA’s the option to complete the EICC Labor Agent SAQ (Excel format) as alternative to full SAQ. Added explanation regarding other “labor agents” and their communication requirements to their employees working at Skyworks.  
|   |   | 4.4.6 – Added Root Cause Analysis / CAP requirement for findings from VAP |
|   |   | 10/13/2016 |
| 14 | Troy Schulze | 4.4.7 – Updated whistleblower web link. |
|   |   | 2/27/2017 |
| 15 | Troy Schulze | - Changed EICC to its new name “RBA” throughout the document.  
<p>|   |   | - Changed CFSI to its new name RMI throughout the document. |
|   |   | 11/20/2017 |</p>
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<th>Updated new web links associated with the referenced name changes. 4.4.3 – Conflict Minerals – Entirely removed provisions for the acceptance of “active” smelters. 4.4.5.2 – Updated Major Supplier requirements to remove the automatic VAP requirement for foundries and subcons.</th>
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<td>16</td>
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1 Purpose and Scope

1.1 Purpose
This specification establishes the minimum “Sustainability” requirements for suppliers covering the elements of:
- Environmental
- Health & Safety
- Ethics
- Labor
- Management Systems
This specification has been developed and deployed to:
- Communicate Sustainability requirements to our suppliers
- Promote sustainable business practices across our supply chain
- Provide our supply chain partners with useful information in building Sustainability programs

1.2 Scope
With the exception of specific sections of this manual that may apply only to specific supplier groups (ie: Level 1 materials suppliers, Major Suppliers, etc...), the provisions of this manual shall apply to all Skyworks suppliers.
Sections applicable to specific supplier groups include:
- 4.5 – Product Compliance
- 4.6 – Responsible Minerals Sourcing
- 4.9 – Major Suppliers – Supplemental Requirements

2 Acronyms / Terminology and Description / Definition

Level 1 Supplier:
Refer to the Supplier Quality Manual – SQ02-0020

Major Supplier
A supplier is considered a major supplier to Skyworks if the supplier is:
- Ranked in the top 80 percent of direct suppliers by spending, AND
- Contracted to provide service or material input for Skyworks’ finished goods or services, OR
- Under the control of Skyworks, even if services or materials are not directly conveyed to Skyworks
- Third-Party Employment Agencies (TPEA)
Skyworks reserves the right to further designate any additional suppliers as a major supplier regardless of the preceding criteria. Skyworks will periodically evaluate its supply chain to identify Major Suppliers. The list of identified Major Suppliers shall be controlled internally on the Major Suppliers List – SQ04-0225. Major Suppliers will be notified by Skyworks of their status.

TPEA
Third Party Employment Agency - Private service enterprises, including sub-agents, carrying out, under contract and in exchange for financial compensation, operations on behalf of individuals or enterprises, whose role is to provide access to employment or career progression by filling employment vacancies.
Sustainability
Sustainability is the demonstrated ability to meet the needs of the present without compromising the ability of future generations to meet their own needs. For the purposes of this specification, Sustainability covers the elements of:
- Environment
- Health & Safety
- Ethics
- Labor
- Management Systems

3 Associated Documents
Access to Skyworks documentation is enabled through our supplier portal. The documents listed below contain requirements that become part of the contractual agreement between the supplier and Skyworks.

Link to Skyworks website: http://www.skyworksinc.com

Skyworks Documents
- SQ01-0002 Sustainability Systems Manual
- SQ02-0020 Supplier Quality Manual
- SQ04-0005 Supplier Survey and Audit Questionnaire
- SQ04-0225 Major Supplier List
- SQ03-0132 Green Procurement Supplier Specification

National and International Standards and Databases
- ISO 14001 Environmental management systems - Requirements with guidance for use
- IFC International Fire Code; as published by the International Code Council (ICC)
- RBA-ONLINE (database) User Log-in https://www.rba-online.org/portal/sign-on.jsp#/login
- RBA Self-Assessment Questionnaire – Indirect SAQ – Contract Labor – Refer to members only Member Service Portal accessible at: http://www.responsiblebusiness.org/

4 Supplier Requirements
Skyworks Sustainability Programs are developed and implemented to provide a structure for sustainable business practices in the areas of Environmental, Health & Safety, Ethics, Labor, and Management Systems. Our supply chain plays a big part in our Sustainability initiatives. We believe in making great products, responsibly, and our Sustainable business practices help to enable this.

Suppliers are responsible to the provisions as prescribed within this specification. Where there is a difference between regulatory requirements, referenced Codes / Standards, and supplemental Skyworks requirements specified herein, the more stringent shall apply.

We encourage you to contact us with any questions you may have regarding this specification. It is our intent to partner with our supply chain to foster sustainable business practices across the industry. Refer to section 5.0 – Contacts, for more information.
4.1 Skyworks Sustainability Policy

This manual is designed in conformance to the Skyworks Sustainability Policy.

Skyworks, is committed to operating under Sustainable Business Practices that meet today’s needs without compromising the ability of future generations to meet their own. We employ a management system approach to:

- Comply with applicable laws, regulations and requirements
- Prevent pollution, conserve resources and minimize waste
- Cultivate safe, healthy and productive work environments
- Operate with integrity, honesty and accountability
- Foster continuous improvement
- Promote Sustainability throughout our supply chain

4.2 Regulatory Requirements

Skyworks requires that its suppliers maintain Sustainability programs in compliance with all applicable regulatory and legal requirements as they apply to the location of each facility. This includes the areas of Environmental, Health & Safety, Ethics, and Labor.

4.3 Responsible Business Alliance Code of Conduct

Skyworks Solutions, Inc. is committed to operating in full compliance with the laws, rules, and regulations of all the countries in which it operates. It recognizes the Responsible Business Alliance Code of Conduct (Code) and actively pursues conformance to it and its standards in accordance with the management systems as identified in this manual. We further recognize the Code as a total supply chain initiative.

Skyworks requires that its suppliers maintain Sustainability programs aligned with the requirements of the Code. The code establishes requirements in the areas of Environment, Health & Safety, Ethics, Labor, and Management Systems. For ease of reference, the current version of the Code has been imbedded in this document in Appendix I. You can also access the code, including available translations to languages other than English, via the RBA website at: http://www.responsiblebusiness.org/standards/code-of-conduct/

In addition to Section A (Labor) sub-section 6 (Non-Discrimination) of the Code, Suppliers of Skyworks must abide by, only if applicable, the requirements of U.S. Executive Order 11246, 29 C.F.R. Part 471, Appendix A to Subpart A, and 41 C.F.R. Parts 60-1.4, 60-1.7, 60-4.3. Suppliers of Skyworks shall also abide by the requirements of 41 CFR 60-300.5(a) and 60-741.5(a). These regulations prohibit discrimination against qualified protected veterans and against qualified individuals on the basis of disability, and require affirmative action by covered prime contractors and subcontractors to employ and advance in employment qualified protected veterans and qualified individuals with disabilities.

4.4 Supplier Management System Requirements

Skyworks requires its suppliers to establish and maintain documented management systems for the Sustainability elements of ethics, labor, health & safety, and the environment. These systems should be aligned with recognized standards as follows:

- Sustainability: Responsible Business Alliance Code of Conduct (refer to Appendix I)
- Environmental: ISO 14001
Suppliers shall ensure all Sustainability programs are properly communicated to employees and specific training is implemented and documented for those persons for whom it is required.

4.5 Product Compliance

Level 1 suppliers of materials used in Skyworks finished goods are required to provide Skyworks materials content information according to our requirements as referenced in the Green Procurement Supplier Specification – SQ03-0132. Here, you will find referenced Skyworks list of Regulated and other substances along with detailed requirements on how to provide Skyworks the materials information we require. We require that these suppliers maintain a documented system of data management to satisfy this requirement.

Refer to Green Procurement Supplier Specification – SQ03-0132 for specific materials reporting information and instructions. Suppliers must maintain systems in accordance with these requirements:

- An established process for materials evaluation of all materials supplied to you (as a supplier to Skyworks) for confirmation of materials content. This includes a supplier survey process and/or a laboratory analytical process.
- An established process for physical analysis of the finished good being supplied to Skyworks
- An established process to verify and confirm conformance to all applicable regulatory requirements such as EU RoHS, China RoHS, REACH, etc... (refer to SQ03-0132 for more details)
- An established process for proper marking of products and packaging to avoid mixing of compliant and non-compliant parts

4.6 Responsible Minerals Sourcing

Conflict Minerals - Described

Many industries, including the semiconductor and electronics industries, utilize tin, tantalum, tungsten (also known as the 3Ts), and gold in their products and manufacturing processes. Gold and the minerals used to produce tin, tantalum and tungsten are mined throughout the world, including in central and southern Africa. The operators of some mines extracting these minerals in central and southern Africa, particularly in The Democratic Republic of Congo (DRC) and the countries sharing an internationally recognized border with the DRC (the Covered Countries), are known to have inflicted rampant human rights abuses on the population of The Democratic Republic of Congo and adjoining countries and to have used the proceeds from the trade in these minerals to engage in violent conflicts in the DRC and its surrounding areas.

In 2010, the United States federal government enacted the Dodd-Frank Wall Street Reform and Consumer Protection Act which, among other things, contained a section addressing the humanitarian goal of ending the violent conflict and human rights abuses in the DRC and surrounding areas which are being funded by the exploitation and trade of “conflict minerals” mined in that region. As required by Section 1502 of the Dodd-Frank Act, a final rule has been adopted by the United States Securities and Exchange Commission (SEC) which requires, beginning on or before May 31, 2014, all publicly reporting companies for which conflict minerals are “necessary to the functionality or production” of products they manufacture or contract to manufacture to make disclosures about their use of “conflict minerals” in their products and manufacturing processes. "Conflict minerals,” as defined in the final rule, include cassiterite, columbite-tantalite and wolframite (and their derivatives, tin, tantalum and tungsten), gold and any other mineral or its derivatives determined by the Secretary of State to be funding conflict in the Covered Countries.

Responsible Minerals Sourcing Policy:

Skyworks Solutions, Inc. is committed to the responsible sourcing of minerals. We have established programs aligned with the internationally recognized OECD due diligence framework to regularly evaluate our supply chain and require our suppliers to do the same. Suppliers are prohibited from supplying Skyworks with materials known to be derived from the DRC or adjoining
countries that have not been confirmed as “DRC Conflict-Free” via a recognized and credible third party process such as the Responsible Minerals Initiative’s Responsible Minerals Assurance Process (RMAP), LBMA’s Good Deliver List, and/or the RJC’s Chain of Custody (CofC) Program.

1. “OECD due diligence framework” refers to the Organization for Economic Co-Operation and Development’s guidance titled “OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas.”

These provisions apply to all Skyworks suppliers providing the above listed metals, either directly, or contained within components or other materials.

**Minimum Supplier Requirements**

Suppliers must work towards getting 100% of their conflict minerals supply from RMAP (or equivalent) program compliant smelters or refiners. **Skyworks requires ALL supply chain smelters or refiners to be audited and deemed conformant via the RMI RMAP, LBMA Good Delivery List, and/or the Responsible Jewelry Council (RJC) Chain of Custody (CofC) program.** Information on each can be referenced at:

- LBMA Good Deliver List: [http://www.lbma.org.uk/good-delivery-list](http://www.lbma.org.uk/good-delivery-list)
- RJC Chain of Custody (CofC) Program: [https://www.responsiblejewellery.com/members/chain-of-custody-certified-entities/](https://www.responsiblejewellery.com/members/chain-of-custody-certified-entities/)

- Smelters not conformant to RMAP, LBMA, or RJC shall be removed from the supply chain.
- Suppliers shall have a policy in place that includes a commitment to DRC Conflict-Free sourcing
- Suppliers shall implement a system by which their supply chain is evaluated and monitored, designed and operated in accordance to the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas
- Suppliers shall identify 100% of the suppliers of 3TG in their supply chain, as well as identify 100% of the associated smelters / refiners from which the materials are sourced
- Suppliers shall report 100% of the smelters or refiners in their supply chain
- Suppliers shall complete and return to Skyworks, upon request, an up-to-date Conflict Minerals Reporting Template (CMRT) identifying ALL smelters and their countries of origin and satisfying all of the above referenced requirements

### 4.7 Freely Chosen Employment (Slavery and Human Trafficking Prevention)

As a member of the Responsible Business Alliance (RBA) we are committed to environmental and social responsibility. Since 2004, the RBA has promoted a Code of Conduct which prohibits the use of forced, bonded, indentured labor or involuntary prison labor. We require our suppliers to comply with the Code and take seriously all forms of non-conformance.

In addition to our work as a member of the RBA, Skyworks has internal policies and practices that are based on the Code and international labor and human rights standards. We partner with our supply chain to create an environment where workers have the right to freely choose employment, the right to associate freely, voluntarily join or not join labor unions and worker councils, and the right to bargain collectively if they choose.

In support of this policy, Skyworks (both Skyworks directly and all our supply chain partners) requires compliance to all labor and ethics laws as applicable to the country where work is being performed. Direct suppliers of materials incorporated into Skyworks finished goods (our products) certify that those materials comply with the laws regarding slavery and human trafficking of the country or countries in which the supplier(s) is doing business (refer to sec. 4.4.1 – Product Compliance). We further prohibit the use of human trafficking or slavery, including involuntary or bonded labor, or indentured servitude.

4.8 Supplier Assessment

Skyworks requires suppliers of Level 1 materials to complete a supplier risk assessment. Suppliers receive from Skyworks form SQ04-0005 – “Supplier Survey and Audit Questionnaire.” The form contains a tab titled “Sustainability.” Suppliers are required to complete this tab by responding to the questions and returning the completed form to Skyworks.

4.8.1 Scoring

Level 1 suppliers are required to complete a self-assessment according to Skyworks Supplier Qualification and Monitoring – SQ03-0138. The Sustainability tab must score “Green” (passing) to allow a supplier profile to be created in the Supplier Quality database (refer to SQ03-0138). Suppliers NOT scoring “Green” are considered deficient and no supplier profile may be created until such time that actions are taken by the supplier resulting in a “Green” score. It is the supplier’s responsibility to take the necessary actions to improve their Sustainability programs in order to meet minimum requirements. This initial supplier evaluation is intended to verify the minimum Sustainability program requirements are in place for all Level 1 suppliers.

4.9 Major Suppliers – Supplemental Requirements

Periodically, Skyworks will evaluate its supply chain and identify all suppliers qualifying as “Major Suppliers” for the purpose of this specification. Refer to Sec. 2 for the definition of a Major Supplier. The Major Supplier List is controlled as an internal Skyworks document – SQ04-0225. Major suppliers will be notified by Skyworks of their status, shall be evaluated using a Self-Assessment Questionnaire risk assessment tool, and shall be subject to audit based on risk and at Skyworks’ discretion.

4.9.1 Self-Assessment Questionnaire

Suppliers meeting the definition of a “Major Supplier” to Skyworks (see definitions section) shall be required to complete a Sustainability Self-Assessment Questionnaire (SAQ). This SAQ is a detailed questionnaire covering all applicable elements of Sustainability. It is intended to give Skyworks information on the status of your Sustainability Programs and to perform a risk assessment. Suppliers will be notified by Skyworks when SAQ’s are due. SAQ’s shall be updated annually.

Materials, Subcon Assembly, and Wafer Foundry Suppliers: Required to complete the Responsible Business Alliance Self-Assessment Questionnaire (SAQ) using the RBA-ONLINE system.

RBA-ONLINE is a subscriber based survey system. Suppliers identified as “Major Suppliers” by Skyworks must follow these steps:

- Access the RBA-ONLINE system using the link provided here: http://www.responsiblebusiness.org/tools/rbaonline/ Subscribe to the system and pay associated user fees
- Complete an SAQ for each facility providing Skyworks products
- Grant Skyworks access to those SAQ’s in the system

Major suppliers already utilizing the RBA-ONLINE system may provide Skyworks with current SAQ’s available in the system. A benefit to utilizing this system is that completed SAQ’s can be provided to any other customers requesting them. No additional surveys need be completed.

TPEA’s and On-Site Service Providers (eg: contracted canteen services, hazardous waste handling services, security services, etc...): Required to complete the RBA SAQ in RBA-ONLINE (see above) or may complete an off-line survey in a format provided by Skyworks.

- Indirect SAQ – Contract Labor (RBA generated SAQ form)
4.9.2 Audits – Skyworks Provided and VAP

All suppliers are subject to audit at the discretion of Skyworks. Major Suppliers scoring in the “High Risk” category on the SAQ risk assessment tool shall be subject to disqualification. To prevent disqualification, suppliers may opt to perform a **third party provided Validated Assessment Process (VAP)**.

VAP’s shall remain current according to the assessment report life as specified by the RBA. Skyworks may also, at its discretion, require a VAP at any major supplier, regardless of SAQ risk score or supplier category designation. **Skyworks will notify those major suppliers for whom a VAP is required and shall provide reasonable time for supplier(s) to complete this requirement.**

- The VAP is a standardized sustainability assessment.
- The assessment shall be provided by an approved VAP provider.
- The assessment shall be performed at the expense of the supplier.
- Details on the VAP can be found on the RBA website at: [http://www.responsiblebusiness.org/standards/vap/](http://www.responsiblebusiness.org/standards/vap/)
- Assessment results belong to the auditee (the supplier being audited / assessed) and can be distributed to other requesting customers at the discretion of the supplier. A VAP may help you avoid the need for other customer requested audits.

Following completion of VAP, suppliers shall perform root cause analysis and corrective actions for all identified findings. Suppliers found to have “priority” level findings shall be required to take corrective actions AND to have a closure audit performed according to the RBA’s VAP program.

4.9.3 Supplemental Customer Specific Requirements

Major Suppliers may be subject to identified supplemental customer requirements. Skyworks will communicate those requirements to the suppliers as they apply.

4.10 Whistleblower Concerns

Skyworks is committed to fostering an environment of compliance with applicable laws, rules, and regulations, and the highest standards of ethics and business conduct. Our **Code of Business Conduct and Ethics**, **Code of Ethics for Principal Financial Officers and Executives**, and other pertinent governance information is available on our external website (Corporate Governance page): [https://investors.skyworksinc.com/corporate-governance](https://investors.skyworksinc.com/corporate-governance). Should you have questions, concerns or complaints, you are encouraged to submit those using the options detailed therein.

What to Report: Complaints, comments or concerns you may have regarding accounting, internal accounting controls or auditing matters, including any questionable accounting or auditing practices;

Any activity by a Skyworks employee, officer or director that is or is suspected of being in violation of;

- the Company’s Code of Business Conduct and Ethics;
- the Company's Code of Ethics for Principal Financial Officers;
- any laws, rules or regulations applicable to the Company; and
- Any other concern you may have regarding the Company and its practices.

**Anonymous Submission:** To submit complaints, concerns or comments anonymously, including those regarding accounting, internal accounting controls or auditing matters, please submit your report using the Convercent reporting system, which enables you to submit an anonymous report via the Internet or by telephone 24 hours a day, seven days a week.

- To make a report via the Internet, go to [http://www.skyworksinc.com/whistleblower](http://www.skyworksinc.com/whistleblower) and follow instructions provided there
- To make a report by telephone within the United States and Canada, dial 1-800-461-9330.
To make a report by telephone from outside the United States and Canada, simply make a collect call to (720) 514-4400. The call center representatives at Convercent are trained to accept these collect calls and arrange for translation if necessary.

Following submission, your confidential report will be forwarded anonymously to Skyworks’ Compliance Officer, who has been designated to process reports. You will be able to receive responses pertaining to your report, send additional messages and, if you desire, participate in any follow-up. If you choose to identify yourself upon (or after) submitting a report, be assured that it is Skyworks’ policy not to retaliate against, nor tolerate any retaliation against, anyone who, in good faith, submits a complaint, comment, or concern, or participates in any subsequent related investigation.

Other Avenues: If you'd rather communicate with someone directly, any question, concern or comment you may have may be directed to any or all of the following:

- Skyworks’ company Compliance Officer, who is currently designated as Robert Terry;
- In-house legal counsel;
- A supervisor, department head, or human resources representative; or
- The Audit Committee of the Board of Directors.

Please note that for accounting or audit-related concerns, you are encouraged to communicate directly to the Compliance Officer or the Audit Committee or to use the Anonymous Submission mechanisms set forth above.

For Your Protection: Skyworks will not retaliate against, nor will it tolerate any retaliation against, anyone who raises any complaints, comments or concerns in good faith.

- All complaints will be held in confidence to the extent practicable;
- No employee will be adversely affected as a result of raising complaints, comments, concerns or suspected violations in good faith; and
- Any person who discriminates or retaliates against an individual for raising any such issues in good faith will be dealt with appropriately, which may include disciplinary action up to and including termination of employment.

5 Contacts
Skyworks recognizes that Sustainability improvements can best be made with open communication and cooperation throughout the supply chain. Please contact us if you have any questions regarding these program requirements, the associated surveys, or Sustainability best practices.

5.1 Green Supplier Surveys
Juan Jesus Muñoz – Survey Administrator – juanjesus.munoz@skyworksinc.com

5.2 Sustainability Programs (Best Practices)
Troy Schulze – Global Risk Management - troy.schulze@skyworksinc.com

5.3 General Supplier Requirements
Contact your Skyworks Supplier Quality or Sourcing Dept. representative

Appendix I – RBA Code of Conduct
To access directly via the RBA website or to access the code in other languages: http://www.responsiblebusiness.org/code-of-conduct/. A print version is provided within this document for easy access and reference.
 RESPONSIBLE BUSINESS ALLIANCE CODE OF CONDUCT

The Responsible Business Alliance (RBA), formerly the Electronic Industry Citizenship Coalition (EICC), Code of Conduct establishes standards to ensure that working conditions in the electronics industry, or industries in which electronics are a key component, and its supply chains are safe, that workers are treated with respect and dignity, and that business operations are environmentally responsible and conducted ethically.

Considered as part of the electronics industry for purposes of this Code are all organizations that may design, market, manufacture, or provide goods and services that are used to produce electronic goods. The Code may be voluntarily adopted by any business in the electronics sector and subsequently applied by that business to its supply chain and subcontractors, including providers of contract labor.

To adopt the Code and become a participant (“Participant”), a business shall declare its support for the Code and actively pursue conformance to the Code and its standards in accordance with a management system as herein.

Participants must regard the Code as a total supply chain initiative. At a minimum, Participants shall also require its next tier suppliers to acknowledge and implement the Code.

Fundamental to adopting the Code is the understanding that a business, in all of its activities, must operate in full compliance with the laws, rules, and regulations of the countries in which it operates.1 The Code also encourages Participants to go beyond legal compliance, drawing upon internationally recognized standards, in order to advance social and environmental responsibility and business ethics. In no case can complying with the Code violate local laws. If, however, there are differing standards between the RBA Code and local law, the RBA defines conformance as meeting the strictest requirements. In alignment with the UN Guiding Principles on Business and Human Rights, the provisions in this Code are derived from and respect internationally recognized standards including the ILO Declaration on Fundamental Principles and Rights at Work and the UN Universal Declaration of Human Rights.

The RBA is committed to obtaining regular input from stakeholders in the continued development and implementation of the Code of Conduct.

The Code is made up of five sections. Sections A, B, and C outline standards for Labor, Health and Safety, and the Environment, respectively. Section D adds standards relating to business ethics. Section E outlines the elements of an acceptable system to manage conformity to this Code.

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1 The Code is not intended to create new and additional third-party rights, including for workers.
A. LABOR

Participants are committed to uphold the human rights of workers, and to treat them with dignity and respect as understood by the international community. This applies to all workers including temporary, migrant, student, contract, direct employees, and any other type of worker. The recognized standards, as set out in the References, were used in preparing the Code and may be useful sources of additional information.

The labor standards are:

1) Freely Chosen Employment
   Forced, bonded (including debt bondage) or indentured labor, involuntary or exploitative prison labor, slavery or trafficking of persons is not permitted. This includes transporting, harboring, recruiting, transferring, or receiving persons by means of threat, force, coercion, abduction or fraud for labor or services. There shall be no unreasonable restrictions on workers’ freedom of movement in the facility in addition to unreasonable restrictions on entering or exiting company-provided facilities including, if applicable, workers’ dormitories or living quarters. As part of the hiring process, all workers must be provided with a written employment agreement in their native language that contains a description of terms and conditions of employment. Foreign migrant workers must receive the employment agreement prior to the worker departing from his or her country of origin and there shall be no substitution or change(s) allowed in the employment agreement upon arrival in the receiving country unless these changes are made to meet local law and provide equal or better terms. All work must be voluntary, and workers shall be free to leave work at any time or terminate their employment without penalty if reasonable notice is given as per worker’s contract. Employers, agents, and sub-agents’ may not hold or otherwise destroy, conceal, or confiscate identity or immigration documents, such as government-issued identification, passports, or work permits. Employers can only hold documentation if such holdings are required by law. In this case, at no time should workers be denied access to their documents. Workers shall not be required to pay employers’ agents or sub-agents’ recruitment fees or other related fees for their employment. If any such fees are found to have been paid by workers, such fees shall be repaid to the worker.

2) Young Workers
   Child labor is not to be used in any stage of manufacturing. The term “child” refers to any person under the age of 15, or under the age for completing compulsory education, or under the minimum age for employment in the country, whichever is greatest. Participants shall implement an appropriate mechanism to verify the age of workers. The use of legitimate workplace learning programs, which comply with all laws and regulations, is supported. Workers under the age of 18 (Young Workers) shall not perform work that is likely to jeopardize their health or safety, including night shifts and overtime. Participants shall ensure proper management of
student workers through proper maintenance of student records, rigorous due diligence of educational partners, and protection of students’ rights in accordance with applicable laws and regulations. Participants shall provide appropriate support and training to all student workers. In the absence of local law, the wage rate for student workers, interns, and apprentices shall be at least the same wage rate as other entry-level workers performing equal or similar tasks. If child labor is identified, assistance/remediation is provided.

3) Working Hours
Studies of business practices clearly link worker strain to reduced productivity, increased turnover, and increased injury and illness. Working hours are not to exceed the maximum set by local law. Further, a workweek should not be more than 60 hours per week, including overtime, except in emergency or unusual situations. All overtime must be voluntary. Workers shall be allowed at least one day off every seven days.

4) Wages and Benefits
Compensation paid to workers shall comply with all applicable wage laws, including those relating to minimum wages, overtime hours and legally mandated benefits. In compliance with local laws, workers shall be compensated for overtime at pay rates greater than regular hourly rates. Deductions from wages as a disciplinary measure shall not be permitted. For each pay period, workers shall be provided with a timely and understandable wage statement that includes sufficient information to verify accurate compensation for work performed. All use of temporary, dispatch and outsourced labor will be within the limits of the local law.

5) Humane Treatment
There is to be no harsh or inhumane treatment including violence, gender-based violence, sexual harassment, sexual abuse, corporal punishment, mental or physical coercion, bullying, public shaming, or verbal abuse of workers; nor is there to be the threat of any such treatment. Disciplinary policies and procedures in support of these requirements shall be clearly defined and communicated to workers.

6) Non-Discrimination/Non-Harassment
Participants should be committed to a workplace free of harassment and unlawful discrimination. Companies shall not engage in discrimination or harassment based on race, color, age, gender, sexual orientation, gender identity and expression, ethnicity or national origin, disability, pregnancy, religion, political affiliation, union membership, covered veteran status, protected genetic information or marital status in hiring and employment practices such as wages, promotions, rewards, and access to training. Workers shall be provided with reasonable accommodation for religious practices. In addition, workers or potential workers should not be subjected to medical tests, including pregnancy or virginity tests, or physical exams that could be used in a discriminatory way. This was drafted in consideration of ILO Discrimination (Employment and Occupation) Convention (No.111).
7) Freedom of Association

In conformance with local law, participants shall respect the right of all workers to form and join trade unions of their own choosing, to bargain collectively, and to engage in peaceful assembly as well as respect the right of workers to refrain from such activities. Workers and/or their representatives shall be able to openly communicate and share ideas and concerns with management regarding working conditions and management practices without fear of discrimination, reprisal, intimidation, or harassment.
B. HEALTH AND SAFETY

Participants recognize that in addition to minimizing the incidence of work-related injury and illness, a safe and healthy work environment enhances the quality of products and services, consistency of production and worker retention and morale. Participants also recognize that ongoing worker input and education are essential to identifying and solving health and safety issues in the workplace.

Recognized management systems such as ISO 45001 and ILO Guidelines on Occupational Safety and Health were used as references in preparing the Code and may be useful sources of additional information.

The health and safety standards are:

1) Occupational Safety

Worker potential for exposure to health and safety hazards (chemical, electrical and other energy sources, fire, vehicles, and fall hazards, etc.) are to be identified and assessed, mitigated using the Hierarchy of Controls, which includes eliminating the hazard, substituting processes or materials, controlling through proper design, implementing engineering and administrative controls, preventative maintenance and safe work procedures (including lockout/tagout), and providing ongoing occupational health and safety training. Where hazards cannot be adequately controlled by these means, workers are to be provided with appropriate, well-maintained, personal protective equipment, and educational materials about risks to them associated with these hazards. Reasonable steps must also be taken to remove pregnant women and nursing mothers from working conditions with high hazards, remove or reduce any workplace health and safety risks to pregnant women and nursing mothers, including those associated with their work assignments, and provide reasonable accommodations for nursing mothers.

2) Emergency Preparedness

Potential emergency situations and events are to be identified and assessed, and their impact minimized by implementing emergency plans and response procedures including emergency reporting, employee notification and evacuation procedures, worker training, and drills. Emergency drills must be executed at least annually or as required by local law, whichever is more stringent. Emergency plans should also include appropriate fire detection and suppression equipment, clear and unobstructed egress, adequate exit facilities, contact information for emergency responders, and recovery plans. Such plans and procedures shall focus on minimizing harm to life, the environment, and property.
3) Occupational Injury and Illness
Procedures and systems are to be in place to prevent, manage, track and report occupational injury and illness, including provisions to encourage worker reporting, classify and record injury and illness cases, provide necessary medical treatment, investigate cases and implement corrective actions to eliminate their causes, and facilitate the return of workers to work.

4) Industrial Hygiene
Worker exposure to chemical, biological, and physical agents is to be identified, evaluated, and controlled according to the Hierarchy of Controls. If any potential hazards were identified, participants shall look for opportunities to eliminate and/or reduce the potential hazards. If elimination or reduction of the hazards is not feasible, potential hazards are to be controlled through proper design, engineering, and administrative controls. When hazards cannot be adequately controlled by such means, workers are to be provided with and use appropriate, well-maintained, personal protective equipment free of charge. Protective programs shall be ongoing and include educational materials about the risks associated with these hazards.

5) Physically Demanding Work
Worker exposure to the hazards of physically demanding tasks, including manual material handling and heavy or repetitive lifting, prolonged standing, and highly repetitive or forceful assembly tasks is to be identified, evaluated, and controlled.

6) Machine Safeguarding
Production and other machinery shall be evaluated for safety hazards. Physical guards, interlocks, and barriers are to be provided and properly maintained where machinery presents an injury hazard to workers.

7) Sanitation, Food, and Housing
Workers are to be provided with ready access to clean toilet facilities, potable water and sanitary food preparation, storage, and eating facilities. Worker dormitories provided by the Participant or a labor agent are to be maintained to be clean and safe, and provided with appropriate emergency egress, hot water for bathing and showering, adequate lighting and heat and ventilation, individually secured accommodations for storing personal and valuable items, and reasonable personal space along with reasonable entry and exit privileges.

8) Health and Safety Communication
Participants shall provide workers with appropriate workplace health and safety information and training in the language of the worker or in a language the worker can understand for all identified workplace hazards that workers are exposed to, including but not limited to
mechanical, electrical, chemical, fire, and physical hazards. Health and safety related information shall be clearly posted in the facility or placed in a location identifiable and accessible by workers. Training is provided to all workers prior to the beginning of work and regularly thereafter. Workers shall be encouraged to raise any health and safety concerns without retaliation.
C. ENVIRONMENT

Participants recognize that environmental responsibility is integral to producing world-class products. Participants shall identify the environmental impacts and minimize adverse effects on the community, environment, and natural resources within their manufacturing operations, while safeguarding the health and safety of the public. Recognized management systems such as ISO 14001 and the Eco Management and Audit System (EMAS) were used as references in preparing the Code and may be a useful source of additional information.

The environmental standards are:

1) Environmental Permits and Reporting
All required environmental permits (e.g. discharge monitoring), approvals, and registrations are to be obtained, maintained, and kept current and their operational and reporting requirements are to be followed.

2) Pollution Prevention and Resource Reduction
Emissions and discharges of pollutants and generation of waste are to be minimized or eliminated at the source or by practices such as adding pollution control equipment; modifying production, maintenance, and facility processes; or by other means. The use of natural resources, including water, fossil fuels, minerals, and virgin forest products, is to be conserved by practices such as modifying production, maintenance and facility processes, materials substitution, re-use, conservation, recycling, or other means.

3) Hazardous Substances
Chemicals, waste, and other materials posing a hazard to humans or the environment are to be identified, labeled, and managed to ensure their safe handling, movement, storage, use, recycling or reuse, and disposal.

4) Solid Waste
Participants shall implement a systematic approach to identify, manage, reduce, and responsibly dispose of or recycle solid waste (non-hazardous).

5) Air Emissions
Air emissions of volatile organic chemicals, aerosols, corrosives, particulates, ozone depleting substances, and combustion byproducts generated from operations are to be characterized,
routinely monitored, controlled, and treated as required prior to discharge. Ozone-depleting substances are to be effectively managed in accordance with the Montreal Protocol and applicable regulations. Participants shall conduct routine monitoring of the performance of its air emission control systems.

6) Materials Restrictions
Participants are to adhere to all applicable laws, regulations, and customer requirements regarding the prohibition or restriction of specific substances in products and manufacturing, including labeling for recycling and disposal.

7) Water Management
Participants shall implement a water management program that documents, characterizes, and monitors water sources, use and discharge; seeks opportunities to conserve water; and controls channels of contamination. All wastewater is to be characterized, monitored, controlled, and treated as required prior to discharge or disposal. Participants shall conduct routine monitoring of the performance of its wastewater treatment and containment systems to ensure optimal performance and regulatory compliance.

8) Energy Consumption and Greenhouse Gas Emissions
Participants are to establish a corporate-wide greenhouse gas reduction goal. Energy consumption and all relevant Scopes 1 and 2 greenhouse gas emissions are to be tracked, documented, and publicly reported against the greenhouse gas reduction goal. Participants are to look for methods to improve energy efficiency and to minimize their energy consumption and greenhouse gas emissions.
D. ETHICS

To meet social responsibilities and to achieve success in the marketplace, Participants and their agents are to uphold the highest standards of ethics including:

1) Business Integrity
The highest standards of integrity are to be upheld in all business interactions. Participants shall have a zero-tolerance policy to prohibit any and all forms of bribery, corruption, extortion and embezzlement.

2) No Improper Advantage
Bribes or other means of obtaining undue or improper advantage are not to be promised, offered, authorized, given, or accepted. This prohibition covers promising, offering, authorizing, giving or accepting anything of value, either directly or indirectly through a third party, in order to obtain or retain business, direct business to any person, or otherwise gain an improper advantage. Monitoring, record keeping, and enforcement procedures shall be implemented to ensure compliance with anti-corruption laws.

3) Disclosure of Information
All business dealings should be transparently performed and accurately reflected on the Participant’s business books and records. Information regarding participant’s labor, health and safety, environmental practices, business activities, structure, financial situation, and performance is to be disclosed in accordance with applicable regulations and prevailing industry practices. Falsification of records or misrepresentation of conditions or practices in the supply chain are unacceptable.

4) Intellectual Property
Intellectual property rights are to be respected, transfer of technology and know-how is to be done in a manner that protects intellectual property rights, and customer and supplier information is to be safeguarded.

5) Fair Business, Advertising and Competition
Standards of fair business, advertising, and competition are to be upheld.
6) Protection of Identity and Non-Retaliation
Programs that ensure the confidentiality, anonymity, and protection of supplier and employee whistleblowers\(^2\) are to be maintained, unless prohibited by law. Participants should have a communicated process for their personnel to be able to raise any concerns without fear of retaliation.

7) Responsible Sourcing of Minerals
Participants shall adopt a policy and exercise due diligence on the source and chain of custody of the tantalum, tin, tungsten, and gold in the products they manufacture to reasonably assure that they are sourced in a way consistent with the Organisation for Economic Co-operation and Development (OECD) Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas or an equivalent and recognized due diligence framework.

8) Privacy
Participants are to commit to protecting the reasonable privacy expectations of personal information of everyone they do business with, including suppliers, customers, consumers, and employees. Participants are to comply with privacy and information security laws and regulatory requirements when personal information is collected, stored, processed, transmitted, and shared.

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\(^2\) Whistleblower definition: Any person who makes a disclosure about improper conduct by an employee or officer of a company, or by a public official or official body.
E. MANAGEMENT SYSTEMS

Participants shall adopt or establish a management system with a scope that is related to the content of this Code. The management system shall be designed to ensure: (a) compliance with applicable laws, regulations and customer requirements related to the participant’s operations and products; (b) conformance with this Code; and (c) identification and mitigation of operational risks related to this Code. It should also facilitate continual improvement.

The management system should contain the following elements:

1) **Company Commitment**
Corporate social and environmental responsibility policy statements affirming Participant’s commitment to compliance and continual improvement, endorsed by executive management, and posted in the facility in the local language.

2) **Management Accountability and Responsibility**
The Participant clearly identifies senior executive and company representative(s) responsible for ensuring implementation of the management systems and associated programs. Senior management reviews the status of the management systems on a regular basis.

3) **Legal and Customer Requirements**
A process to identify, monitor and understand applicable laws, regulations, and customer requirements, including the requirements of this Code.

4) **Risk Assessment and Risk Management**
A process to identify the legal compliance, environmental, health and safety and labor practice and ethics risks associated with Participant’s operations. Determination of the relative significance for each risk and implementation of appropriate procedural and physical controls to control the identified risks and ensure regulatory compliance.

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3 Areas to be included in a risk assessment for environmental health and safety are production areas, warehouse and storage facilities, plant/facilities support equipment, laboratories and test areas, sanitation facilities (bathrooms), kitchen/cafeteria and worker housing/dormitories.
5) **Improvement Objectives**
Written performance objectives, targets and implementation plans to improve the Participant’s social, environmental, and health and safety performance, including a periodic assessment of Participant’s performance in achieving those objectives.

6) **Training**
Programs for training managers and workers to implement Participant's policies, procedures, and improvement objectives and to meet applicable legal and regulatory requirements.

7) **Communication**
A process for communicating clear and accurate information about Participant’s policies, practices, expectations, and performance to workers, suppliers, and customers.

8) **Worker Feedback, Participation and Grievance**
Ongoing processes, including an effective grievance mechanism, to assess workers’ understanding of and obtain feedback on or violations against practices and conditions covered by this Code and to foster continuous improvement. Workers must be given a safe environment to provide grievance and feedback without fear of reprisal or retaliation.

9) **Audits and Assessments**
Periodic self-evaluations to ensure conformity to legal and regulatory requirements, the content of the Code, and customer contractual requirements related to social and environmental responsibility.

10) **Corrective Action Process**
A process for timely correction of deficiencies identified by internal or external assessments, inspections, investigations, and reviews.

11) **Documentation and Records**
Creation and maintenance of documents and records to ensure regulatory compliance and conformity to company requirements along with appropriate confidentiality to protect privacy.

12) **Supplier Responsibility**
A process to communicate Code requirements to suppliers and to monitor supplier compliance.
to the Code.
REFERENCES

The following standards were used in preparing this Code and may be useful sources of additional information. The following standards may or may not be endorsed by each Participant.

Dodd-Frank Wall Street Reform and Consumer Protection Act
http://www.sec.gov/about/laws/wallstreetreform-cpa.pdf

Eco Management & Audit System http://ec.europa.eu/environment/emas/index_en.htm

Ethical Trading Initiative www.ethicaltrade.org/

ILO Code of Practice in Safety and Health

ILO International Labor Standards

ISO 14001 www.iso.org

National Fire Protection Association www.nfpa.org


OECD Guidelines for Multinational Enterprises


United Nations Convention on the Rights of the Child

United Nations Convention on the Elimination of All Forms of Discrimination Against Women
https://www.ohchr.org/EN/ProfessionalInterest/Pages/CEDAW.aspx

United Nations Global Compact www.unglobalcompact.org

United States Federal Acquisition Regulation www.acquisition.gov/far/

SA 8000 https://sa-intl.org/programs/sa8000/

Social Accountability International (SAI) www.sa-intl.org
DOCUMENT HISTORY


Version 1.1 – Released May 2005. Converted document to RBA format, minor page layout revisions; no content changes.

Version 2.0 – Released October 2005 with revisions to multiple provisions.

Version 3.0 – Released June 2009 with revisions to multiple provisions.

Version 4.0 – Released April 2012 with revisions to multiple provisions.

Version 5.0 – Released November 2014 with revisions to multiple provisions.

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Version 6.0 – Released January 2018 with revisions to multiple provisions.

Version 7.0 – Released January 2021 with revisions to multiple provisions.

The RBA Code of Conduct was initially developed by a number of companies engaged in the manufacture of electronics products between June and October 2004. Companies are invited and encouraged to adopt this Code. You may obtain additional information from:

http://www.responsiblebusiness.org